Prestitioner's Docket No. 032794-054911-CIP

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

N 餐 APPLICATION OF:

GREGORY H. ALTMAN; JINGSONG CHEN; REBECCA L. HORAN AND

DAVID J. HORAN

APPLICATION NO.:

10/800,134

GROUP NO.:

1651

Filed: For:

AUG 1 2 2004

3/11/2004 Examiner: To be assigned IMMUNONEUTRAL SILK-FIBER-BASED MEDICAL DEVICES

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a) and 1.10)

I hereby certify that this correspondence:

1. Certificate of Mailing (1 pg.);

2. Transmittal Form (1 pg.);

- 3. Information Disclosure Statement with Form 1449 (6 pp.);
- 4. Copy of References A1-A20, B1-B2 and C1-C16;
- 5. Return Receipt Postcard.

is on the date shown below being:

MAILING

X deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Date: August 10, 2004

FACSIMILE

transmitted by facsimile to the Patent and Trademark Office.

Signature

Linda M. Ginsberg

(type or print name of person certifying)

PTO/SB/21 (04-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/800,134 TRANSMITTAL Filing Date March 11, 2004 **FORM** First Named Inventor G. Altman Art Unit (to be used for all correspondence after initial filing) 1651 **Examiner Name** To be assigned Attorney Docket Number 032794-54911-CIP Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication Drawing(s) to Technology Center (TC) Fee Transmittal Form Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Identify below): **Extension of Time Request** Certificate of Mail; COPY - References A1-A20, B1-B2, Request for Refund C1-C16; and Return Receipt Postcard **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority The Commissioner is hereby authorized to charge any fee deficiency or credit any Document(s) overpayment to the Nixon Peabody LLP Deposit Account No. 50-0850. Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm David S. Resnick (34,235)
Nixon Reabody LLP, 100 Summer Street, Boston, MA 02110 Individual name Signature Date 2004 August CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimite transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Linda M Date Signature August 10, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Practitioner's Docket No. 032794-054911-CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: GREGORY H. ALTMAN; JINGSONG CHEN; REBECCA L.

HORAN AND DAVID J. HORAN

APPLICATION NO.: 10/800,134

GROUP NO.: 1651

Filed:

3/11/2004

Examiner: To be assigned

For:

IMMUNONEUTRAL SILK-FIBER-BASED MEDICAL DEVICES

MAIL STOP AMENMDENT Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is, on the date shown below, being: deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Linda M. Ginsberg

Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with the provisions of 37 C.F.R. §§1.56 and 1.97, Applicants herewith submit the publications and/or patents shown on the attached form PTO-1449, for consideration by the Examiner in connection with the examination of the above-identified patent application.

I. REMARKS

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed:

- X (1) within three (3) months of the Filing Date or before the mailing date of the First Office Action on the merits; or
- ___ (2) within three months of the mailing date of the PCT International Search Report; or

	(3)	after the period defined in (1) but before the mailing date of a Final
		Rejection or Notice of Allowance, and the requisite Certification or fee
		under Rule 1.17(p), namely \$180.00, is included herein; or
	(4)	after the mailing date of a Final Rejection or Notice of Allowance but
		before the payment of the Issue Fee, and the requisite Certification,
		petition, and petition fee are included herein.
It is r	espectfu	lly requested that each of the documents shown on the attached form(s)
PTO-1449 be	e made o	f record in this application. Copies of these documents (CHECK ONE):
<u>X</u>	are en	closed herewith; and
	have b	een cited in the parent application, and are thus not being resubmitted

Early examination and allowance of the present application are respectfully solicited.

FEE AUTHORIZATION

Should any fees associated with the submission be required, the Commissioner is authorized to charge the missing fee to our Deposit Account No. 50-0850. Any overpayments should be credited to said Deposit Account.

Respectfully submitted,

herein.

David S. Resnick (Reg. 34,235)

NIXON PEABODY LLP

100 Summer Street Boston, MA 02110

(617) 345-6057

PTO/SB/08A/B 08-03)

Approved for use through 07/31/2006. OMB 0651-0031
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

he Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute f	or form 1449 PTO	,,		Co	omplete if Known
				Application Number	10/800,134
INF	ORMATION	DIS	CLOSURE	Filing Date	March 11, 2004
ST/	TEMENT B	YA	PPLICANT	First Named Inventor	Gregory Altman
				Art Unit	1651
	(use as many shee	ets as n	ecessary)	Examiner Name	To be assigned
Sheet	1	of	4	Attorney Docket Number	032794-54911-CIP

			U.S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number – Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	A1	US-846,805	03/26/1907	Schmid	
	A2	US-1,709,662	04/16/1929	Ellis	
	А3	US-1,815,279	07/21/1931	Takamine, Jr.	
	A4	US-1,828,736	10/27/1931	Harvey, Jr.	
	A5	US-1,896,494	02/07/1933	Myers et al.	
	A6	US-1,927,022	09/19/1933	Bueno	
	A7	US-1,990,588	02/12/1935	Fink et al.	
	A8	US-2,040,949	05/19/1936	Olpin et al.	
	A9	US-4,141,207	02/27/1979	Mizushima et al.	
	A10	US-4,461,298	07/24/1984	Shalaby et al.	
	A11	US-4,865,031	09/12/1989	O'Keeffe	
	A12	US-5,252,285	10/12/1993	Lock	
	A13	US-5,456,697	10/10/1995	Chesterfield et al.	
	A14	US-5,736,399	04/07/1998	Takezawa et al.	
	A15	US-5,951,506	09/14/1999	Tsubouchi	
	A16	US-5,965,125	10/12/1999	Mineau-Hanschke	
	A17	US-5,994,099	11/30/1999	Lewis et al.	
	A18	US-6,303,136	10/16/2001	Li et al.	
	A19	US-6,440,740	08/27/2002	Tsubouchi et al.	
	A20	US-6,530,956	03/11/2003	Mansmann	

	<u> </u>				
	<u> </u>				
	ļ				

Examiner	Date	
Signature	Considered	

(and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the senal number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file

PTO/SB/08A/B 08-03)

Approved for use through 07/31/2006. OMB 0651-0031
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute f	or form 1449 PTO			Complete if Known		
				Application Number	10/800,134	
INF	ORMATIO	N DIS	CLOSURE	Filing Date	March 11, 2004	
STA	TEMENT	BY A	PPLICANT	First Named Inventor	Gregory Altman	
				Art Unit	1651	
	(use as many sh	eets as n	ecessary)	Examiner Name	To be assigned	
Sheet	2	of	4	Attorney Docket Number	032794-54911-CIP	

			FUREIGN PA	TENT DOCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code3 - Number4 - Kind Code5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant Of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	,
	B1	WO 02/29141 A1	04/11/2002	Consorzio Per Gli Studi Universitari		
	B2	EP 1 241 178 A1	09/18/2002	National Institute of Agrobiological Sciences and Kowa Co., Ltd.		
						╀
						Ŧ
	+		<u> </u>			t
						Τ

Date Considered	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04 That issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nich document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is

Approved for use through 07/31/2006. OMB 0651-0031

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute f	for form 1449 PTO			Complete if Known		
				Application Number	10/800,134	
INF	ORMATIO	N DIS	CLOSURE	Filing Date	March 11, 2004	
ST/	ATEMENT	BY A	PPLICANT	First Named Inventor	Gregory Altman	
				Art Unit	1651	
	(use as many st	neets as n	ecessary)	Examiner Name	To be assigned	
Sheet	3	of	4	Attorney Docket Number	032794-54911-CIP	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
	C1	ALTMAN, G.H. et al., Silk Fibers and Materials – Properites and Processing, 11/26/2001	
	C2	ALTMAN, G.H. et al., Silk Matrix for Anterior Cruciate Ligament Tissue Engineering Using Bone Marrow Stromal Cells, 02/10/2002	
	С3	FURUZONO, T. et al., Biomaterials, 21:327-333 (2000)	
	C4	GOSLINE, J.M. et al., The Journal of Experimental Biology, 202:3295-3303 (1999)	
	C5	Gotoh, Y. et al., Biomaterials, 18(3):267-271 (1997)	<u> </u>
	C6	GOTOH Y. et al., J. Biomed. Mater Res. 39:351-357 (1998)	
	C7	HINMAN, M.B. et al., TIBTECH, 18:374-379 (2000)	
	C8	Hoechst Celanese, Dictionary of Fiber & Textile Technology, pp. 140-141 (1990)	
	C9_	KUROSAKI, S. et al., J. Nippon Med. Sch., 66:41-44 (1999)	
	C10	LEE, Yong-woo, FAO Agricultural Services Bulletin No. 136, Food and Agriculture Organization of the United Nations, Rome (1999) available at http://www.fao.org/docrep/x2099e00.htm	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 07/31/2006. OMB 0651-0031
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute f	or form 1449 PTO			Complete if Known		
				Application Number	10/800,134	
INF	ORMATION	DIS	CLOSURE	Filing Date	March 11, 2004	
ST/	TEMENT B	YA	PPLICANT	First Named Inventor	Gregory Altman	
•••				Art Unit	1651	
	(use as many shee	ets as n	ecessary)	Examiner Name	To be assigned	
Sheet	4	of	4	Attorney Docket Number	032794-54911-CIP	

	C11	LIANG, C.X. et al., Journal of Applied Polymer Science, 45:1937-1943 (1992)	
	C12	MINOURA N. et al., Journal of Biomedical Materials Research, 29:1215-1221 (1995)	
	CIZ	mintoor of the octain, octain, octained or biomodela materials record on a service of	
	C13	MINOURA, N. et al., Biomaterials, 11:430-434 (1990)	
	C14	SANTIN, M. et al., J. Biomed. Mater Res., 46:382-389 (1999)	
	C15	XU, M. et al., Proc. Natl. Acad. Sci. USA, 87:7120-7124 (1990)	
	C16	ZHAO, C. et al., Biopolymers, 69:253-259 (2003)	
1	U16	Zi ir O, O, O, an, Dioporphicis, OJ.200 200 (2000)	
			
I	I		Į

Examiner	Date	
Signature	Considered	

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

^{*}EXAMINER if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.